Banking Internal Controls Training Course

Professional Development Training Course Outline

Category	Banking Institute	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

In today's rapidly evolving financial landscape, *Banking Internal Controls* have become a cornerstone for ensuring risk management, regulatory compliance, fraud prevention, operational resilience, and financial integrity. Financial institutions face increasing pressure from cyber threats, digital banking risks, regulatory scrutiny, and governance expectations, making robust internal control systems essential. Banking Internal Controls Training Course provides a comprehensive framework for strengthening internal audit systems, compliance structures, and enterprise risk management (ERM) using global best practices.

The program equips participants with practical skills in control design, risk assessment, internal auditing, fraud detection, compliance monitoring, and governance frameworks. Through real-world case studies, participants will explore Basel guidelines, COSO framework, anti-money laundering (AML), internal control automation, and data-driven audit techniques. This course is ideal for professionals seeking to enhance banking efficiency, accountability, transparency, and sustainable financial performance in a highly regulated environment.

Programme Curriculum

Banking Internal Controls Training Course

Introduction

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Course Objectives

By the end of this course, participants will be able to:

1. Apply risk-based internal control frameworks in banking operations
2. Strengthen fraud risk management and detection systems
3. Implement COSO-based internal control structures effectively
4. Enhance regulatory compliance and governance frameworks
5. Conduct internal audits using data analytics tools
6. Develop robust AML and KYC compliance strategies
7. Identify and mitigate operational and financial risks
8. Improve internal control automation and digital controls
9. Design effective monitoring and reporting mechanisms
10. Strengthen corporate governance and accountability systems
11. Evaluate credit risk and lending control processes
12. Enhance cybersecurity controls in digital banking systems
13. Build sustainable risk culture and control awareness

Target Audience

1. Internal Auditors in Banking Institutions
2. Risk and Compliance Officers
3. Finance and Accounting Professionals
4. Banking Operations Managers
5. Credit and Loan Officers
6. Regulatory and Governance Professionals
7. Fraud and Investigation Officers
8. Senior Management and Bank Executives

Course Modules

Module 1: Fundamentals of Banking Internal Controls

- Overview of internal control systems in banking
- Importance of control environments and governance
- Key components of COSO framework
- Roles of audit, compliance, and risk functions
- Case Study: Internal control failures in global banks

Module 2: Risk Management and Assessment

- Enterprise Risk Management (ERM) frameworks

- Risk identification and risk mapping techniques
- Risk appetite and tolerance levels
- Risk mitigation strategies in banking
- Case Study: Risk assessment failures leading to financial losses

Module 3: Internal Audit Systems and Practices

- Principles of internal auditing in banks
- Risk-based auditing approaches
- Audit planning and execution
- Reporting and follow-up mechanisms
- Case Study: Audit gaps and lessons learned

Module 4: Fraud Prevention and Detection

- Types of banking fraud and red flags
- Fraud risk assessment frameworks
- Digital fraud detection tools
- Whistleblowing and investigation procedures
- Case Study: Fraud scandals in financial institutions

Module 5: Regulatory Compliance and Governance

- Overview of banking regulations and compliance requirements
- Basel accords and regulatory frameworks
- Corporate governance in banking
- Compliance monitoring systems
- Case Study: Regulatory breaches and penalties

Module 6: Anti-Money Laundering (AML) and KYC Controls

- AML frameworks and global standards
- Customer Due Diligence (CDD) and KYC processes
- Transaction monitoring systems
- Reporting suspicious activities
- Case Study: AML failures and enforcement actions

Module 7: Digital Banking Controls and Cybersecurity

- Internal controls in digital banking systems
- Cybersecurity risks and mitigation strategies
- Data protection and privacy compliance
- Automation and AI in internal controls
- Case Study: Cyber breaches in financial institutions

Module 8: Monitoring, Reporting, and Continuous Improvement

- Control monitoring techniques and dashboards
- Key Risk Indicators (KRIs) and KPIs
- Reporting frameworks for management
- Continuous improvement and control optimization
- Case Study: Successful control transformation in banks

Training Methodology

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
21 Sep 2026	25 Sep 2026	Online	$1,000
21 Sep 2026	25 Sep 2026	Physical	$1,500
21 Sep 2026	25 Sep 2026	Physical	$0
21 Sep 2026	25 Sep 2026	Physical	$0

Start Date	End Date	Location	Price
21 Sep 2026	25 Sep 2026	Physical	$0
21 Sep 2026	25 Sep 2026	Physical	$0
21 Sep 2026	25 Sep 2026	Physical	$0
21 Sep 2026	25 Sep 2026	Physical	$0

Ready to enroll or request a customized program? Book online or contact us:

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