Training Course on Professional Certificate in Risk Management, Control and Compliance (Corporate Governance)

Professional Development Training Course Outline

Category	Corporate Governance	**Duration**	10 Days
Base Fee	$3,000 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

In today’s complex corporate landscape, businesses are exposed to a wide range of financial, regulatory, and operational risks. Effective risk management, internal control, and regulatory compliance are now essential components of sustainable corporate governance. Training Course on Professional Certificate in Risk Management, Control & Compliance (Corporate Governance) is designed to provide professionals with the latest tools and techniques to identify, assess, mitigate, and monitor risks within organizations while aligning with international best practices.

The Professional Certificate in Risk Management, Control & Compliance equips participants with a strategic understanding of corporate risk frameworks, compliance monitoring systems, and governance structures. By leveraging case-based learning and expert insights, learners will gain practical skills to ensure accountability, transparency, and integrity in their organizations.

Programme Curriculum

Training Course on Professional Certificate in Risk Management, Control & Compliance (Corporate Governance)

Introduction

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Course Objectives

1. Understand the fundamentals of risk management frameworks in corporate governance.
2. Learn how to design and implement effective internal control systems.
3. Explore regulatory compliance trends in global markets.
4. Gain skills in corporate risk assessment and decision-making.
5. Develop techniques for enterprise risk reporting and documentation.
6. Enhance knowledge on audit procedures and governance oversight.
7. Apply principles of ethical compliance in real-world scenarios.
8. Understand cyber risk management and data protection regulations.
9. Analyze financial risks and regulatory responses in volatile markets.
10. Learn to monitor and evaluate compliance control effectiveness.
11. Explore emerging risks and ESG (Environmental, Social, Governance) trends.
12. Interpret and apply ISO 31000 and other international standards.
13. Build a culture of risk-aware corporate governance.

Target Audience

1. Risk Managers
2. Compliance Officers
3. Internal Auditors
4. Corporate Governance Professionals
5. Financial Controllers
6. Chief Risk Officers (CROs)
7. Legal and Regulatory Advisors
8. Business Consultants

Course Duration: 10 days

Course Modules

Module 1: Introduction to Corporate Risk Management

- Definition and types of risks
- The role of risk management in corporate governance
- Risk appetite and tolerance
- Identifying key risk indicators (KRIs)
- Aligning risk with strategic goals
- **Case Study**: Risk mapping in a Fortune 500 firm

Module 2: Internal Control Frameworks

- COSO and ISO 31000 overview
- Control environment and control activities
- Risk assessment procedures
- Information and communication flows
- Monitoring and corrective actions
- **Case Study**: Control failure in Enron and lessons learned

Module 3: Regulatory Compliance & Legal Obligations

- Global regulatory landscape
- Compliance obligations and enforcement
- Reporting and disclosure requirements
- Roles of regulators and agencies
- Compliance gap analysis
- **Case Study**: GDPR compliance in multinational companies

Module 4: Governance & Ethics

- Corporate governance principles
- Board roles and responsibilities
- Ethics and code of conduct
- Whistleblowing mechanisms
- Stakeholder management
- **Case Study**: Ethical breaches in Wells Fargo

Module 5: Risk Identification and Assessment

- Tools for risk identification (SWOT, PESTLE)
- Qualitative and quantitative assessment
- Risk matrix and heat maps
- Scenario planning
- Risk rating techniques
- **Case Study**: Risk assessment in pharmaceutical companies

Module 6: Risk Response and Mitigation

- Strategies for risk avoidance, reduction, sharing, acceptance
- Designing mitigation plans
- Risk financing and insurance
- Integrating controls into processes
- Monitoring mitigated risks
- **Case Study**: Operational risk response in the aviation industry

Module 7: Monitoring and Evaluation of Compliance

- Setting compliance benchmarks
- Key Performance Indicators (KPIs)
- Compliance scorecards
- Continuous improvement methods
- Internal vs external audits
- **Case Study**: Sarbanes-Oxley (SOX) compliance effectiveness

Module 8: Enterprise Risk Management (ERM)

- ERM frameworks and best practices
- Integrating ERM with business strategy
- Risk culture and ownership
- Cross-functional risk coordination
- ERM software tools
- **Case Study**: ERM implementation at Microsoft

Module 9: Financial Risk Management

- Credit, market, liquidity, and operational risks

- Financial instruments and derivatives
- Risk-adjusted return on capital (RAROC)
- Basel Accords and capital requirements
- Treasury risk controls
- **Case Study**: Financial risk modeling in banking

Module 10: Cyber Risk & Information Security

- Cybersecurity fundamentals
- Threat detection and vulnerability analysis
- Incident response planning
- Data privacy laws and compliance
- Digital governance structures
- **Case Study**: Cyber breach at Equifax

Module 11: ESG & Sustainability Risk

- ESG risk factors in governance
- Environmental compliance frameworks
- Social responsibility programs
- Governance metrics and transparency
- Integrating ESG into strategy
- **Case Study**: ESG risk mitigation in oil & gas industry

Module 12: Audit and Assurance

- Types of audits (internal, external, forensic)
- Audit lifecycle and planning
- Evidence gathering and testing
- Reporting audit findings
- Auditor independence and ethics
- **Case Study**: Audit failure in Wirecard AG

Module 13: Business Continuity & Crisis Management

- Business impact analysis (BIA)
- Crisis response strategies
- Business continuity planning (BCP)
- Risk communication plans
- Recovery and resilience models
- **Case Study**: COVID-19 response strategies in healthcare

Module 14: Risk Reporting & Governance Communication

- Board-level reporting
- Visualizing risk with dashboards
- Transparency and disclosures
- Communicating risk to stakeholders
- Executive summaries and briefings
- **Case Study**: Risk reporting practices in insurance firms

Module 15: Capstone Project & Final Review

- Integrated risk control planning
- Application of frameworks and standards
- Peer collaboration and feedback

- Simulation-based scenarios
- Assessment and certification
- **Case Study**: Multi-risk integration in a retail conglomerate

Training Methodology

- Interactive instructor-led sessions
- Real-world case study analysis and group discussions
- Practical exercises and scenario-based simulations
- Use of risk management and compliance software tools
- Assessments and feedback sessions

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
21 Sep 2026	02 Oct 2026	Online	$2,000
21 Sep 2026	02 Oct 2026	Physical	$3,000
21 Sep 2026	02 Oct 2026	Physical	$0
21 Sep 2026	02 Oct 2026	Physical	$0

Start Date	End Date	Location	Price
21 Sep 2026	02 Oct 2026	Physical	$0
21 Sep 2026	02 Oct 2026	Physical	$0
21 Sep 2026	02 Oct 2026	Physical	$0
21 Sep 2026	02 Oct 2026	Physical	$0

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